



How to 'notify' a waste shipment.

Outline of Presentation

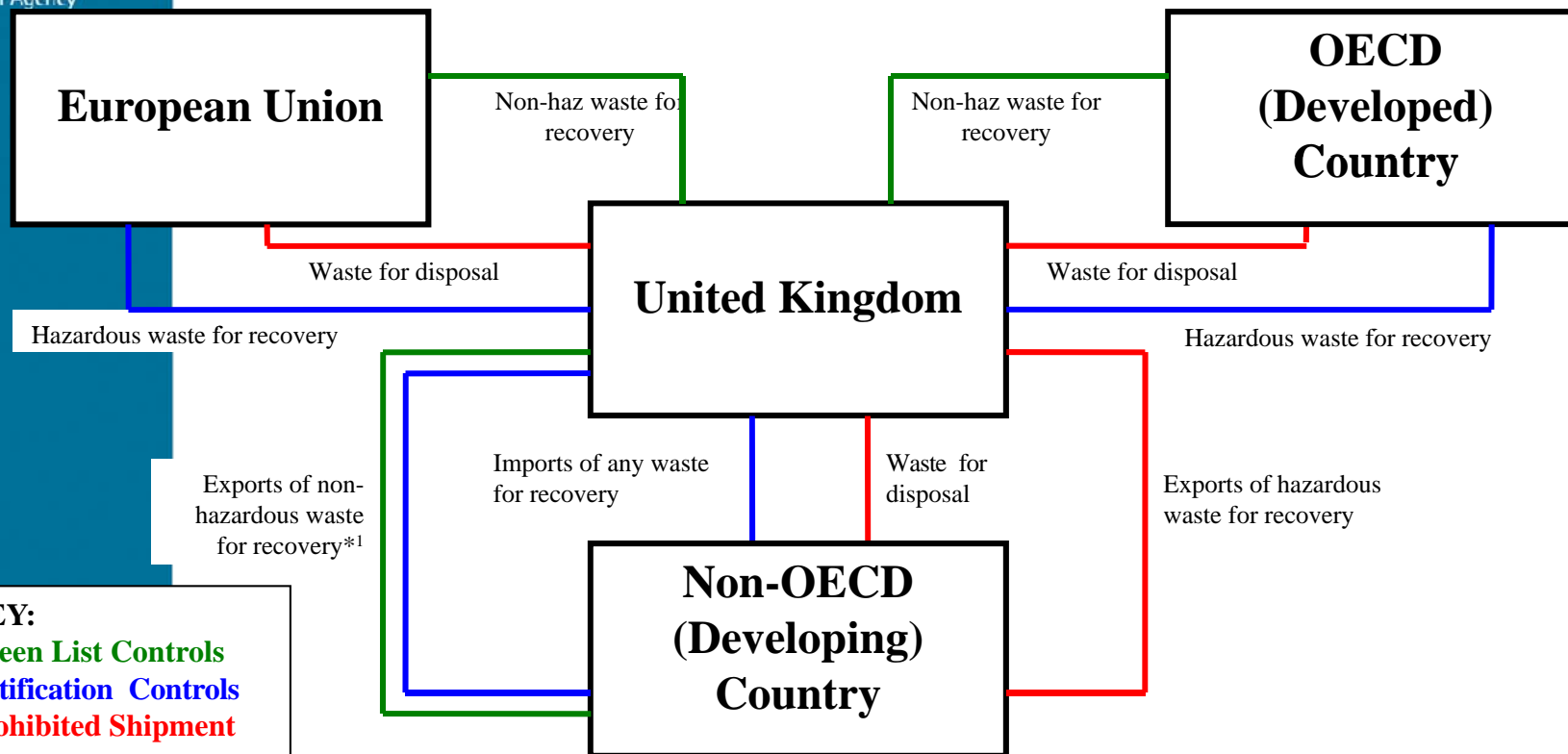
- What do I have to notify?
- How do I go about this?
- Problem areas
- Sources of guidance
- Contact details

Which wastes do I have to notify?

- Re-cap
 - **Notification Control** – high level
 - Hazardous waste for recovery within OECD
 - Some imports and
 - Exports of non-hazardous wastes for recovery to certain non-OECD countries

Examples of notified shipments

- Mixed non-hazardous recyclates to China
- CRTs to The Netherlands
- Mobile phones without batteries to Singapore
- Tyres to Ghana



European Union – Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Spain, Sweden.

Additional OECD – Australia, Japan, Norway, Canada, Iceland, South Korea, Mexico, New Zealand, Turkey, USA.

*¹ – Some exports of non-hazardous waste to non-OECD countries can be conducted under Green List controls, others must obey Notification requirements. This depends on the nature of the waste and whether the country of destination has provided a response to the OECD Decision on the control of transboundary movements of waste. If you wish to export waste to a non-OECD country (ie, a country which is not listed above) then you should seek further guidance from a member of the Producer Compliance & Waste Shipments Unit (see contacts page for details).

Notification Controls

- Application accompanied by the relevant fee
- Financial guarantee
- Contract for the recovery of the waste with person receiving the waste
- Insurance against liability for damage to third parties
- Permission from all competent authorities in all countries where the waste will be moving through



All this must be in place **before**
the export is allowed to move

Forms

- For export: Email Transfrontier@sepa.org.uk
- For import: Email competent authority of dispatch
(<http://ec.europa.eu/environment/waste/shipments/lists.htm>)
- Uniquely numbered notification and movement tracking form

Notification form

GB 0002 000101.doc - Microsoft Word

File Edit View Insert Format Tools Table Window Help

Final Showing Markup Show

Title Times New Roman 10

Reject Change/Delete Comment

Notification document for transboundary movements / shipments of waste

1. Exporter - notifier Registration No: Name: Address: Contact person: Tel: Fax: E-mail:		3. Notification No: GB0002 000101 Notification concerning: A. (i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipments: <input type="checkbox"/> B. (i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input type="checkbox"/> C. Pre-consented recovery facility (2,3): Yes <input type="checkbox"/> No <input type="checkbox"/>
2. Importer - consignee Registration No: Name: Address: Contact person: Tel: Fax: E-mail:		4. Total intended number of shipments: 5. Total intended quantity (4): Tonnes (Mg): m ³ :
8. Intended carrier(s) Registration No: Name (7): Address: Contact person: Tel: Fax: E-mail:		6. Intended period of time for shipment(s) (4): First departure: Last departure: 7. Packaging type(s) (5): Special handling requirements (6): Yes <input type="checkbox"/> No <input type="checkbox"/> 11. Disposal /recovery operation(s) (2): D-code / R-code (5): Technology employed (6): Reason for export (1,6):
		12. Designation and composition of the waste (6):

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Financial guarantee

- SEPA must hold original
- Financial guarantee – must cover obligations
 - Size of guarantee = $[(A + B + C) \times \text{the number of active shipments}] + D$; where,
 - A = the cost of shipment;
 - B = the cost of disposal or recovery;
 - C = the cost of storage for 90 days; and
 - D = administrative costs (including legal fees and charges).

Contract

Covers:

- Notifier takes the waste back if shipment has not been completed or if effected as an illegal
- Shipment (Article 22 and Article 24(2))
- The person receiving the waste must recover or dispose of the waste if effected as an illegal shipment (Article 24(3))
- business recovering or disposing of the waste must provide a certificate of recovery

Other parts of the application

- Recovery information
 - the amount of recovered material in relation to non-recoverable waste;
 - the estimated value of the recycled/recovered material;
 - the cost of recovering the residual waste;
 - the cost of disposing of the amount of non-recoverable waste.
- Route
- Evidence of insurance

Timescales

- It can take a long time to get permission from all authorities involved
- Disposal particularly problematic
- Speak to us as early as possible
- ‘Properly carried out’ checks = duly made
- Submit applications as early as possible
- Financial guarantee does not have to be ‘active’ at time of submission

Words of honesty

- It is sometimes impossible to get a response from a country outside the EU
- Each authority might require different 'additional' information

Further Information and Contact Details

- **External SEPA website:** Waste > Waste regulation > Transfrontier shipment
 - Guidance documents
 - Explains Notification controls

Contact details

- Transfrontier@sepa.org.uk
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